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Surveying Under Adverse Conditions

Conducting Research with Violent Populations & within High-Crime Neighborhoods

The Intersection of Survey Research and Crime and Justice

- Research with prisoners
 - Human subjects protections
 - Prison settings
- Violent neighborhoods
- Violent individuals

Research with Prisoners

> You conduct interviews in prison??

Federal Regulations Regarding Research with Prisoners

Federal regs specify 7 special provisions for research involving prisoners:

- Research must fall into 1 of 4 categories:

 (i) cause or effect of incarceration & criminal behavior;
 (ii) institutional structures;
 (iii) conditions affecting prisoners as a class; or (iv) research on practices deigned to improve health & well being
- II. Research benefits to prisoners shouldn't interfere with ability to weigh risks of research

Special Provisions—continued

- III. Risks involved are commensurate with risks that would be accepted by non-prison volunteers
- IV. Procedures for selection are fair
- V. Materials/information presented in language that is understandable
- VI. Assurance that parole boards won't take participation in research in making parole decisions/prisoner understands that participation will have no effect on parole
- VII. Provisions for IRB follow-up examination, if necessary

Prison & Jail Settings

- > Every state is different; every institution is different
- Some agencies will have their own IRB
- Talk to warden and senior correctional administrator
- Do your homework on layout of prison/administrative buildings, institutional regulations (e.g., no open toe shoes), what to do with incentives
- Only carry Identification and papers needed
- Know your subjects (i.e., criminal history)
- Locate subjects (again) the week before interview

Prison & Jail Settings (cont.)

- If research topics are sensitive, ask for private space for interview, but not too private!
- Interview in pairs, when budget permits
- Utilize informed, written consent. Disclose when must report prisoner's statement of intended (future) harm
- Stop interviewing if you feel uncomfortable

Violent Neighborhoods - the Issues

- Dangers are often incidental to the topic itself
- Residents mostly distrustful of surveys
- Little police presence
- Confusing physical layout of public housing developments
- Visible drug dealing, prostitution, disorder, etc.
- Risk to interviewers when carrying "incentives"

Violent or Disoriented Individuals

- People with MH issues and violence in past are interviewable
- Some markers for disorientation and potential violence
- Homicide in past history does not necessarily make them a risk, etc.

Overcoming the Issues

- Provide extensive training. Use at-risk scenarios
- > Partner with local, respected agencies
- Use local/community interviewers
- Use locals as liaisons to interview process
- Meet with community police officers before research begins
- Carry identification, mobile phones
- Interview in pairs, when budget permits

Overcoming the issues (cont.)

- Interview during daylight hours
- Use a car
- Conduct interview on front porch or yard, if possible. If not, in the front room near the door
- If many people are in house, try to re-schedule interview
- Minimize your relationship with police agencies so Rs don't feel threatened or perceive you as a snitch
- Incentives: Don't carry cash. If you have to, use store gift cards

Summary

Remember that the dangers are never totally manageable and researchers can be unlucky, so prepare, prepare, prepare



For more information on justice policy research, please visit the Urban Institute website at: http://jpc.urban.org/

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